

DENNIS P. MOODY

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ACCOMPLISHED CONTROLLER

Senior Controller • Financial Leader • Financial Consultant • Financial Statements
Cash Projections • Month-End Close • Portfolio Accounting • Financial Planning & Analysis
Reduced Process Time • Decreased Costs • Enhanced Efficiency • Increased Accuracy
Streamlined Reporting • Conducted Due Diligence • Identified Savings • Re-Negotiated Contracts

WORK EXPERIENCE

SIAR Capital LLC, New York, NY

May 2016 - present

Controller

- Reviewed contracts, negotiated deals for savings, disputed fees, and made payments to 70+ vendors relating to services at multiple properties.
- Maintained and updated QuickBooks for 10 unique entities.
- Performed human resources tasks, including picking healthcare packages, communicating with accountants/ADP to issue W-2s/1099s, adjusting salaries, and maintaining business records.
- Improved checks, removed duplicative actions, and presented data in a more readable format by revamping P&L.
- Prepared daily P&L files for the patriarch of the family and portfolio manager for equity, private equity, venture capital, and hedge fund investments.
- Utilized profit and loss and balance sheet statements to work with accountants of the family for tax returns for both the entities and their personal returns.
- Instructed trades to the prime brokers as per the head of the family.
- Coordinated with galleries, insurance brokers, and shipping companies to purchase, insure, and ship valuable artwork to multiple residences.
- Researched and advised on potential boat purchases.

Tiger Eye Capital, LLC, New York, NY

Jan 2014 - May 2016

Controller

- Implemented back office infrastructure for a long-short equity-focused SEC registered investment adviser with a max AUM of \$1.7B.
- Oversaw the onboarding process for new executing brokers, prepared 13F Filings, 13H Filings, 13G Filings, Form ADV, and FBAR Filings.
- Improved fund documents, including the code of ethics and compliance manual in collaboration with outside compliance consultants.
- Created excel files/macros to semi-automate daily/month-end work from paper method to more efficient/cost effective electronic method.
- Performed monthly reconciliation of positions, cash, balance sheet, trial balance, and income statement for the master fund to administrator/prime brokers.
- Prepared month-end marketing report by sector, region, and market cap which is distributed to investors while answering any questions.
- Reviewed master, onshore, and offshore fund financial statements.
- Produced tax estimates for investors.
- Monitored firm trading for trade errors and insider trading.
- Onboarded new accounting, OMS, and PMS systems.

- Tested and implemented rules in the OMS to prevent trade errors and to prevent firms from exceeding certain exposure levels.

Karsch Capital Management, LP, New York, NY

May 2007 - Oct 2013

Assistant Controller

- Reconciled monthly financial packages for 2 funds and 5 managed accounts at an SEC registered investment adviser with several strategies with a max AUM of \$3.5B.
- Created macros in excel to automate reports and monitor compliance in 18 managed accounts.
- Liaised to 7 prime brokers and 90 executing brokers.
- Prepared currency trades for hedging and speculation and allocated trades in Eze Castle as per firms' policies/procedures across 8 funds and several managed accounts.
- Assisted CCO through 2 SEC audits.
- Collaborated with the CCO to onboard new executing brokers and prepare 13F Filings, 13H Filings, Blue Sky reports, and FBAR filings.
- Optimized collateral management and met margin calls.
- Created and prepared daily P&L reports by analysts and sector distributed them to the President, CFO, investor relations, traders, and analysts.
- Performed year-end audit, interim year audit work, and financial statement preparation for onshore and offshore funds.
- Built template to prevent errors/save time for wire transfers, securities lending, soft dollar, portfolio rebalance, counterparty risk, and excess cash.
- Interfaced with administrators/prime brokers to calculate and reconcile daily trade/cash breaks using Advent Axys/Dataport.
- Analyzed firm trading data and presented findings to traders, operations group, and CCO semi-annually to assure the firm was complying with its best execution practices.
- Monitored employees' personal accounts and firm trades for insider trading.
- Voted proxy votes and tracked securities class action lawsuits.

Merrill Lynch, IBK Finance, New York, NY

Nov 2005 - May 2007

Senior Specialist

- Oversaw interregional interaction with traders and bankers for global deals.
- Performed final settling of deals while accruing expenses from London and Hong Kong to apply against revenues for international deals.
- Cut checks and issued wires based on the underwriting agreements on the deal.
- Revamped month-end Legal and Management close.
- Led integration of the current revenue tracking system into a new environment.
- Monitored products, private placements, emerging markets, preferred stock, high-grade bonds, high yield, auction market, and liability management.

EDUCATION

Villanova University, College of Commerce and Finance, Villanova, PA

2005

Bachelor of Science, Accountancy & Business Administration

TECHNOLOGIES

Quickbooks (Certified ProAdvisor), Microsoft Office, Salesforce, Asana, Slack, Zoho, Linked In, Bloomberg, Enfusion Integrata, Advent Axys, Adobe PDF, Dropbox